

jc962 U.S. PTO
05/24/01

05-29-01 A

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390.P9765
(maximum 12 characters)

First Named Inventor Andrew V. Anderson

Title: METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS
Express Mail Label No. EL672751924US

1046 U.S. PTO
09/865919

05/24/01

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant Claims Small Entity Status. (37 CFR 1.27)
3. Specification (Total Pages 27)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 2)
5. Oath or Declaration (Total Pages 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
 - c. Unsigned.
6. Application Data Sheet. (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies
 - d.

ACCOMPANYING APPLICATION PARTS

9. _____ **Assignment Papers (cover sheet & documents(s))**
10. _____ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
_____ b. Power of Attorney
11. _____ English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
_____ b. Copies of IDS Citations
13. _____ **Preliminary Amendment**
14. _____ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. _____ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. _____ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: Copy of Postcard with Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ **Examiner** _____ **Group Art Unit** _____
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME John P. Ward

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

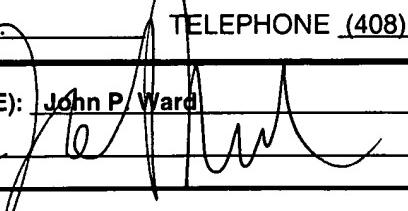
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): John P. Ward

Registration No.: 40,216

Signature : 

Dat : 5/29/01

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05/24/01

PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) 890.00

Complete if Known:

Application No. Not Yet Assigned

Filing Date Herewith

First Named Inventor Andrew V. Anderson

Group Art Unit Not Yet Assigned

Examiner Name Not Yet Assigned

Attorney Docket No. 42390.P9765

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Credit Card
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	<u>710.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

SUBTOTAL (1) \$ 710.00

2. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>30</u>	<u>- 20** = 10</u>	<u>X 18.00 = 180.00</u>
Independent Claims	<u>3</u>	<u>- 3** = 0</u>	<u>X 80.00 = _____</u>
Multiple Dependent			<u>= _____</u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
103	18	203 9
102	80	202 40
104	270	204 135
109	80	209 40
110	18	210 9
		Claims in exc ss f 20
		Ind p ndent claims in xcess of 3
		Multipl dep ndent claim, if not paid
		**Reissu independent claims v r riginal pat nt
		**Reissue claims in excess f 20 and ver original patent

SUBTOTAL (2) \$ 180.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee F	F		
Code (\$)	Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	_____
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	_____
139 130	139 130	Non-English specification	_____
147 2,520	147 2,520	For filing a request for ex parte reexamination	_____
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	_____
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	_____
115 110	215 55	Extension for reply within first month	_____
116 390	216 195	Extension for reply within second month	_____
117 890	217 445	Extension for reply within third month	_____
118 1,390	218 695	Extension for reply within fourth month	_____
128 1,890	228 945	Extension for reply within fifth month	_____
119 310	219 155	Notice of Appeal	_____
120 310	220 155	Filing a brief in support of an appeal	_____
121 270	221 135	Request for oral hearing	_____
138 1,510	138 1,510	Petition to institute a public use proceeding	_____
140 110	240 55	Petition to revive – unavoidable	_____
141 1,240	241 620	Petition to revive - unintentional	_____
142 1,240	242 620	Utility issue fee (or reissue)	_____
143 440	243 220	Design issue fee	_____
144 600	244 300	Plant issue fee	_____
122 130	122 130	Petitions to the Commissioner	_____
123 50	123 50	Processing fee under 37 CFR 1.17(q)	_____
126 180	126 180	Submission of Information Disclosure Stmt	_____
581 40	581 40	Recording each patent assignment per property (times number of properties)	_____
146 710	246 355	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149 710	249 355	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
179 710	279 355	Request for Continued Examination (RCE)	_____
169 900	169 900	Request for expedited examination of a design application	_____
195 300	195 300	Publication fee for early, voluntary, or normal publication	_____
196 300	196 300	Publication fee for republication	_____
194 130	194 130	Request for voluntary publication or republication	_____
098 130	098 130	Processing fee under 37 CFR 1.17(i)	_____
091 1,240	091 1,240	Acceptance of unintentionally delayed claim for priority	_____

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: John P. Ward

Signature : _____ Date : 5/29/01

Reg. Number: 40,216 Telephone Number: 408-720-8300

WARNING: Information in this form may become public. Credit card information should not be included in this form. Provide credit card information and authorization on PTO-2038.

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672751924US
Date of Deposit: 5/24/01

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

(Typed or printed name of person mailing paper or fee)
J. R. Rosen

(Signature of person mailing paper or fee)
J. R. Rosen

(Date signed)
5/24/01

Serial/Patent No.: *****

Filing/Issue Date: Herewith

Client: Intel Corporation

Title: METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS

BSTZ File No.: 42390-P9765

Atty/Secty Initials: JPW/BDB/dkr
Docket Due Date: *****

Date Mailed: May 24, 2001

Express Mail No.: EL672751924US Check No. 43022

- The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:
- Amendment/Response (pgs.)
 - Appeal Brief (pgs.) (in triplicate)
 - Application - Utility (27 pgs., with cover and abstract)
 - Application - Rule 1.53(b) Continuation (pgs.)
 - Application - Rule 1.53(b) Divisional (pgs.)
 - Application - Rule 1.53(b) CIP (pgs.)
 - Application - Rule 1.53(d) CPA Transmittal (pgs.)
 - Application - Design (pgs.)
 - Application - PCT (pgs.)
 - Application - Provisional (pgs.)
 - Assignment and Cover Sheet
 - Certificate of Mailing Express Mail
 - Declaration & POA (5 pgs.) Unsigned
 - Disclosure Docs & Oig & Copy of French Signed Letter (pgs.)
 - Drawings: 2 # of sheets includes 2 figures
 - Other: Copies Of 2 Cited References
- Information Disclosure Statement & PTO-149 (3 pgs.) Check No. _____ Amt: \$890.00
 Issue Fee Transmittal Notice of Appeal Petition for Extension of Time
 Petition for _____ Postcard Power of Attorney (pgs.)
 Preliminary Amendment (pgs.) Reply Brief (pgs.) Response to Notice of Missing Parts
 Small Entity Declaration for Indep. Inventor/Small Business Transmittal Letter, in duplicate Utility
 Fee Transmittal, in duplicate